



# Evaluating The Effectiveness And Limitations Of Google Patent Search And Impact Of Artificial Intelligence Technologies On Patent Law.

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**Abstract:** A search engine is a software system designed to search for information on the web based on user queries. It indexes and organizes web pages to provide relevant results in response to search terms. The most popular search engines are Google, Bing, as well as Yahoo search engine.

Google was founded by Larry Page and Sergey Brin and they officially launched Google search engine on September 4, 1998. Larry Page and Sergey Brin were the Ph.D. students at Stanford University.

Google Patent Search, launched in 2006, has emerged as a comprehensive platform that allows users to search for patents from around the world [1]. With its easy-to-use interface and vast database, it has become an invaluable tool for inventors, researchers, lawyers, and businesses. By providing access to patent databases such as the United States Patent and Trademark Office (USPTO), European Patent Office (EPO), and World Intellectual Property Organization (WIPO), Google Patent Search would simplify the process which involves researching existing patents, analyzing of technological trends, as well as assessing the novelty of inventions.

Granting patents and registering trademarks in the US is the responsibility of the United States Patent and Trademark Office (USPTO), a federal organization. It guarantees firms and inventors the protection of their intellectual property rights.

An innovator can register a patent application with the USPTO, for instance, if they develop a novel kind of smartphone battery and wish to prevent others from copying their idea. After awarded, this patent guarantees the creator exclusivity over the production, use, and distribution of the battery.

Operating within the borders of the United States and its territories is the United States Patent and Trademark Office (USPTO) [2]. However, the USPTO's issuance of intellectual property rights is only good domestically, not outside. Inventors and companies seeking protection in other nations must file applications for patents or trademarks with the relevant national intellectual property offices, or via international agreements such as the Madrid System for trademarks and the Patent Cooperation Treaty (PCT) for patents.

Under the European Patent Convention (EPC), the European Patent Office (EPO) is a body that awards patents that are valid throughout its member nations. The EPO permits a single application to be valid in several European nations, in contrast to the USPTO, which only serves the United States. Compared to the USPTO, the EPO operates under distinct legislative and procedural frameworks [3]. The patents granted by the USPTO are only valid within the United States, however the patents granted by the EPO are also valid throughout numerous European countries. This differentiation entails variations in assessment criteria and implementation strategies.

The World Intellectual Property Organization (WIPO) is a UN agency that promotes global intellectual property protection and provides international services for IP rights. Unlike the European Patent Office (EPO), which grants patents specifically for its member European countries, WIPO facilitates international IP protection through agreements like the Patent Cooperation Treaty (PCT) and the Madrid System for trademarks. WIPO acts as a coordinating body and does not itself grant patents but supports and harmonizes IP practices worldwide [4]. The EPO, on the other hand, directly examines and grants European patents.

This paper explores Google Patent Search's features, effects on innovation, application in legal research, and benefits and drawbacks of utilizing it for patent research and will also comments on the legal issues with the Google patent search.

Through its ability to increase precision, efficiency, and originality, AI revolutionizes the process of invention. It gives entrepreneurs the ability to examine enormous volumes of data, spot trends, and come up with creative solutions that could be hard or impossible for people to come up with on their own. AI quickens the speed of innovation by enhancing human intelligence, opening the door for ground-breaking discoveries in a variety of sectors.

#### **Keywords used:**

Google Patents, Patent Research, Patent Databases, United States Patent and Trademark Office, European Patent Office, World Intellectual Property Organization, Orbit intelligence, PatBase and Patent Corporation Treaty, Artificial Intelligence as Inventor, Military Robots, etc.

#### **Introduction :**

Google Patent Search, USPTO, EPO, and WIPO all serve distinct roles in patent research, each with its own strengths. Google Patent Search offers a user-friendly interface and powerful search algorithms that leverage Google's search technology to provide broad, accessible overviews of patent information, making it ideal for preliminary searches and general exploration.

In the contrary, the USPTO (United States Patent and Trademark Office) database is a strong resource for getting detailed as well as authoritative information on the patents which were filed in the United States. It offers extensive search options tailored for professionals and provides access to comprehensive patent documents and legal status updates. However, its interface can be more complex and less intuitive compared to Google Patent Search.

The European Patent Office (EPO) database, known for its Espacenet search tool, provides a highly detailed resource for patents filed across Europe. Espacenet offers advanced search features, including access to a wide

range of European patents and translations, and supports in-depth legal and technical research. Despite its comprehensive coverage, the EPO's database can be less accessible to casual users due to its complexity.

When it comes to providing global patent information under the Patent Cooperation Treaty (PCT) framework, WIPO's PATENTSCOPE search engine performs admirably. It offers a wide range of worldwide patent filings, which makes it useful for cross-border searches and comprehending global patent landscapes. Because of its sophisticated features and interface, PATENTSCOPE may be more difficult to use than Google Patent Search, even if it is specialized for foreign patents.

Google's patent system has an extensive record of legal and technological problems. On the technological front, some claim that Google Patents are less safe and more open to exploitation, raising questions about their level of security. Furthermore, there have been problems with the platform's patent information's completeness and quality. In addition, there are a number of issues and constraints with Google Patent Search, such as missing data, inaccurate legal status, outdated information, and restricted search options.

"Inventor" is defined in Section 2(y) as the individual who actually devised the invention. According to Section 6(1), the inventor or anybody to whom the inventor has assigned the invention or who has consented in writing to receive it may submit an application for a patent. According to Section 7(1), the assignee will be considered the patentee for an invention if the application for a patent is made as a result of an assignment of the right to apply for a patent for that invention.

A robot machine cannot be a patent holder under the terms of the Patent Act of 1970. A machine or robot does not claim inventorship; rather, the human who conceives the innovation does. It is still up for contention, though, whether a robot or a computer can be named as an inventor in a patent application[9].

If in future, a robotic machine is made by some another person and after the death of that person, the robotic machine performs the invention, the robotic machine will be the inventor and not the human.

### **AI as an Inventor under Patent law:**

Any interested person may file a post-grant opposition under Section 25 of Indian Patent Act, 1970 to block the issuance of a patent on a number of grounds, including as the invention's lack of novelty, its obviousness, or the applicant's lack of entitlement. Opponents of AI-generated inventions may contend that if an invention was produced autonomously by AI, it should not be eligible for patent protection, particularly if the applicant did not directly contribute to the inventive step. A patent for an AI-generated idea under the name of a human applicant with little to no involvement in the invention process could give rise to objection and raise the question of whether AI can be deemed the "inventor."

Patent law has historically exclusively acknowledged human inventors. AI has the potential to generate inventions on its own as it develops, upending the human-centered patent system. Without human assistance, an AI uses machine learning techniques to create new medicinal compounds. This raises the question of who ought to receive the patent. Who developed the patent—the AI, the AI's owner, or both [10]?

Does the law recognize AI as an inventor, or should it only recognize humans as inventors? Saudi Arabia awarded citizenship to Sophia, the AI humanoid. In the event that Sophia invents something, the legal system has to decide whether or not to designate her as the inventor on patent applications.

Does the AI that creates a patentable technology own the patent, or do the AI developers who created the algorithms that made the invention possible own the intellectual property? The invention must satisfy requirements for patentability, including utility and novelty. Due to AI's ability to evaluate vast volumes of data, traditional standards may face challenges from "obvious" inventions—i.e., inventions that are not fully reproducible by humans[11].

The invention must satisfy requirements for patentability, including utility and novelty. Due to AI's ability to evaluate vast volumes of data, traditional standards may face challenges from "obvious" inventions—i.e., inventions that are not fully repeatable by humans. An AI uses sophisticated algorithms to optimize the design of a machine part. The part functions, but the AI process that led to the design is difficult for human engineers to comprehend or duplicate. How are these inventions assessed by patent examiners [12]?

### **Role of AI in Invention:**

With a focus on military robotics and Russia's contributions, the research "Trends in Robotics Patents" provides a thorough examination of the worldwide robotics patent activity. It looks at patterns in patent awards from 2005 to 2019, which is useful for assessing how far robotics has come technologically in various nations and domains [13].

The research's first part addresses the growing significance of robotics in a variety of industries, including industrial manufacturing, defense, healthcare, and home appliances. It emphasizes how patent activity, which connects research, technology, and commerce, is a helpful indicator of innovation and technological advancement. Medical robots are helping with surgery, while networked industrial robots are revolutionizing factories. In many domains, patents serve as a crucial gauge of strategic progress in addition to reflecting commercial purpose and international technological competitiveness [14].

According to the report, which shows the status of robotics patents worldwide, China is in the lead with 35% of all issued patents, followed by South Korea and Japan. Despite its fourth-place ranking, the US leads in specific areas such as aerospace applications, medical robotics, and military robotics. On the other hand, due to its emphasis on defense-related discoveries, Russia ranks third in terms of military robotics patents, despite its meager overall contribution of 2%. China leads the world in industrial robots, for instance, whereas the United States concentrates more on industries like aerospace and AI-driven robotics. The research elaborates the particular instance of Russia's robotics patent activity. Russia is not as ahead of other nations in terms of total patent counts, but it is clearly focused on military uses.

Russia's patenting activities are primarily government-driven, and although the private sector's R&D investment is still lacking, the nation's military robotics advancements are noteworthy. Despite the comparatively low adoption rate of robotics in its civilian industry, Russia has demonstrated its accomplishments in military robotics by producing autonomous unmanned ground vehicles (UGVs) for battle. The report then looks at trends in worldwide military robotics patents, emphasizing how few these patents are in comparison to total robotics advancements. The limitations on patenting sensitive military inventions may be the cause of this.

Despite this, nations like the United States and China are significant players in the military robotics patent market, which is essential to understanding future warfare capabilities. For example, China's patents on military robotics have increased dramatically since 2015, indicating the country's wider drive toward technological leadership, while Russia's efforts are still concentrated on unmanned defense systems.

## **Functionality and user interface of Google Patent Search:**

Google Patent Search provides a comprehensive and user-friendly platform for accessing global patent information. Its interface is designed to be intuitive, allowing users to search patents by keywords, inventor names, patent numbers, or assignee details, with filters to refine results by filing dates, jurisdictions, and patent offices. The database includes patents from major patent offices such as the United States Patent and Trademark Office (USPTO), with records dating back to the 1790s, the European Patent Office (EPO), and the World Intellectual Property Organization (WIPO), covering international patents filed under the Patent Cooperation Treaty (PCT). Additionally, Google Patent Search aggregates data from other national patent offices, offering a broad and detailed perspective on global innovation.

One major worry with Google Patent Search is its legal implications. The possibility that Google would utilize search queries to influence its own patenting tactics is one of the main worries. This calls into doubt the privacy of searches made on the platform. Despite Google's assertion that search inquiries are private, there's no clear assurance that the business won't utilize this data for its own gain.

## **Role of Google Patent Search in Invention:**

Inventors must verify the uniqueness of their idea through a previous art search before submitting a patent application. Making sure the invention hasn't been revealed or claimed in any published works or patents that are now in effect is why this stage is so important. Google Patent Search is a valuable resource that offers access to an extensive database of patent applications from many jurisdictions. Inventors can find technologies or inventions that already exist and may have similarities to their own by carrying out these searches. It also enables innovators to make well-informed judgments about moving forward with patent filings, improving their ideas, or perhaps giving up on a project if previous art reveals significant similarities. This helps save expensive legal challenges down the road[14].

In contrast, the Digital Personal Data Protection Act of 2023 (DPDPA) places a strong emphasis on the processing of personal data requiring transparency and accountability. Businesses handling digital data must guarantee compliance with data protection requirements, just as inventors must carefully evaluate the patent landscape to prevent conflicts. The DPDPA's Section 12 sets down the guidelines for data reduction and storage limitation, mandating that businesses handle only the data that is essential and retain it for the necessary amount of time. Similarly, in order to minimize needless legal or financial risk, innovators conducting prior art searches need to focus only on pertinent technology[15].

Google's open-access platform encourages creativity by giving creators the tools they need to expand on previously discovered information. In order to come up with fresh concepts, researchers and developers can go through expired patents or material in the public domain. This fosters an environment of open innovation where ideas are openly exchanged and reinterpreted [16].

## **Patent Prosecution and Litigation:**

Google Patent Search is a widely used tool for patent prosecution and litigation by patent attorneys and other legal professionals. The platform helps find previous art, which is important in accordance with Section 13 of the Indian Patent Act, 1970 and 35 U.S. Code § 102 which mentions conditions for patentability. The patent law, which describes the requirements for novelty in order to be eligible for patent protection. Attorneys can determine whether an invention satisfies the requirements of novelty and non-obviousness, as required by Section 2(1)(j) of the Indian Patent Act, 1970 and 35 U.S.C. § 103 in the U.S., by looking for pertinent prior art. Additionally, by examining current patents and assessing the breadth of claims made under Section 10 of

the Indian Patent Act, 1970, Google Patent Search enables lawyers to evaluate the strength of a client's patent portfolio throughout the prosecution of a patent [17].

Under Section 53 of the Indian Patent Act, 1970 and 35 U.S.C. § 154 in the U.S., patents normally expire after 20 years from the date of filing. Google Patent Search allows users to keep track of these dates. The invention enters the public domain after a patent expires, enabling companies to exploit the technique without obtaining a license. This encourages innovation and competition by giving businesses the chance to commercialize out-of-date technologies without worrying about infringement [18].

To help their clients' portfolios of intellectual property grow, lawyers also utilize Google Patent Search to find patents that are up for license. This is particularly pertinent when examining patents that are about to expire or figuring out potential licensing deals that might result from patents that are still pending but still usable for business purposes. Under Section 68 of the Indian Patent Act, 1970, licensing agreements enable companies to use patented technologies lawfully, increasing their market presence and guaranteeing adherence to intellectual property regulations.

### **Advantages of Google Patent Search:**

Google Patent Search is a great resource for independent inventors, companies, and academics who might not have access to pricey, private patent search databases because it is freely available to anybody with an internet connection. The democratization of patent information fosters an innovation ecosystem that is more diverse.

Google Patent Search employs natural language search, which makes it simple for users to identify pertinent patents without requiring in-depth knowledge of patent classification or legal jargon, in contrast to many specialist patent search engines that demand familiarity with legal and technical vocabulary [19].

Researchers can cross-reference patents with scholarly literature thanks to Google Patent Search's integration with Google Scholar. When looking for references to or advancements of patented technologies in academic research, scientists and engineers will find this tool especially helpful.

### **Limitations of Google Patent Search:**

Although Google Patent Search includes information from large patent offices, it could not cover all jurisdictions or the most recent data from smaller patent offices. More in-depth information may occasionally be available from more specialist patent databases like PatBase or Derwent Innovation.

Compared to generic platforms like Google Patent Search, specialty patent databases like PatBase and Derwent Innovation provide more thorough and precise patent information. Access to full-text data and legal status information for over 100 patent-issuing authorities' patent documents is possible via PatBase, a global platform for patent search and analysis. It is renowned for providing broad coverage and sophisticated search tools that let users do in-depth patent examination [20].

Similar cutting-edge features are available from Clarivate's Derwent Innovation, which focuses only on patent analytics and intellectual property strategy. The DWPI codes and comprehensive classifications, along with the carefully selected patent data, make it particularly useful for assessing patent quality, tracking trends, and bolstering research and development decisions. Professionals utilize these tools to have a greater understanding of the worldwide patent environment.

Despite its strength, Google's search algorithm does not always produce accurate results, especially for extremely technical queries involving specialized classifications or legal intricacy. Expert users frequently favor specialist databases like LexisNexis TotalPatent or Orbit because they enable more accurate searches [6].

While professional patent search tools offer more sophisticated capabilities like citation analysis, forward citations, and legal status updates, Google Patent Search only offers basic patent information. Legal experts may need to employ proprietary technologies in addition to Google Patent Search if they need a thorough history of patent prosecution or litigation records.

The correctness of the legal status data offered by Google Patent Search raises another legal concern. The platform could not always accurately reflect a patent's current legal status, which is important information to have when making decisions on patents. In addition, Google Patent Search has already encountered legal issues, such as lawsuits involving patent infringement. For example, despite their previous collaboration in 2013, Sonos, a well-known provider of audio equipment, has charged Google with stealing its multiroom audio technology. A limited import ban on specific Google devices was the outcome of this court fight, which started in 2020.

The ambiguity in patent information provided by Google can create infringement. One of the well-known cases of patent infringement against Google concerned the well-known audio technology business Sonos. In a dispute involving patent infringement, Sonos prevailed over Google, and a jury awarded Sonos \$32.5 million. In a San Francisco tribunal, the ruling declared that Google's media players and smart speakers violated one of Sonos' smart speaker patents. Despite their previous collaboration in 2013, this legal issue started in 2020 when Sonos accused Google of stealing its multiroom audio technology. A restricted import prohibition on some Google devices was the outcome of a case that Sonos earlier won at the US International Trade Commission. As a result, Google had to take several functions out of its smart displays and speakers[26].

### **Future of Google Patent Search:**

Google Patent Search could become even more useful as the world of patents changes by adding AI-driven search algorithms and machine learning. This would improve the accuracy of its prior art detection, much like how platforms like Derwent Innovation and Questel Orbit use cutting-edge AI to make patent research easier. In addition to improving search result accuracy, these AI-powered solutions also foresee relevant patents that a user might overlook because of convoluted legal or technical jargon. Artificial intelligence (AI) systems have the ability to examine trends in patent filings, producing more insightful and pertinent results than conventional keyword-based searches [6].

Furthermore, growing alliances with national patent offices—such the WIPO, EPO, and USPTO—might give Google access to a larger database and put it on par with all-inclusive resources like LexisNexis TotalPatent or PatBase, which give users access to international patent records. With this greater reach, Google would be able to better assist researchers of patents worldwide by guaranteeing that they have access to the most recent filings from all relevant jurisdictions [7] [8].

## Suggestions for improvements in Google Patent Search:

**Implementation of Natural Language Processing:** Utilize advanced natural language processing (NLP) strategies to enhance search efficiency, comprehend intricate queries, and extract pertinent data from patent documents [23][24][25].

Following are the steps of implementation of advanced natural language processing:

1. Query Input : (Text/Voice Interface) : Jane, a patent researcher, is looking for patents related to "renewable energy storage systems." She can either type this phrase into the search bar or use the voice command feature to ask, "Show me patents on renewable energy storage systems."
2. Preprocessing : Jane's query "renewable energy storage systems" is normalized by the system to remove common words like "the" or "in," and the words "storage" and "systems" are reduced to their base forms (e.g., "store" and "system"). Now, the query is simpler and optimized for search. Before processing the query, the system normalizes the input text, removing unnecessary words (stopwords), and performs stemming or lemmatization to reduce words to their root forms. This makes the search more efficient and relevant by focusing only on key terms.
3. Query Understanding: Here, the system applies advanced techniques to understand the meaning and context of the query. It uses Named Entity Recognition (NER) to identify specific entities such as "renewable energy" or "storage systems." Syntactic Parsing and Semantic Analysis help the system understand the relationships between words, and Intent Classification ensures that the system grasps the user's goal, whether it's to find patents, analyze trends, or retrieve specific documents. Example: The system recognizes "renewable energy" as the subject and "storage systems" as the technology of interest. It classifies Jane's intent as desire to find patents and analyze their trends.
4. Patent Document retrieval: Once the system understands the query, it searches various patent databases (such as USPTO, EPO, etc.) using search algorithms. The system retrieves relevant patent documents, indexes them, and ranks them based on relevance to the query. Example: The system retrieves patents from various databases related to renewable energy storage systems. These patents are then ranked according to their relevance, with the most pertinent ones appearing at the top of the results.
5. Data Extraction from Patent Documents: Document Parsing , NER & Info Extraction, Summarization

The system parses the patent documents to extract relevant information. Named Entity Recognition (NER) is used again to pull out key details such as inventor names, filing dates, and technical classifications. The system also provides a summary of each patent document to make it easier for the user to understand the key aspects.

Example: Jane sees a list of patents with brief summaries. The system highlights key information such as the filing date, technology area, and patent claims. For instance, one patent focuses on lithium-ion battery storage in solar energy systems.

6. Patent Data Analytics: (Citation Network, Technology Landscape, Patent Trends, Classification Analysis)

At this stage, the system performs deeper analytics on the retrieved patents. It analyzes the citation networks (which patents cite or are cited by the target patents), the technology landscape (what technologies are dominant or emerging), patent trends (which areas are seeing the most innovation), and classification analysis (the technical classifications of the patents).

Example: The system shows Jane that patents related to renewable energy storage systems are being heavily cited by newer patents in electric vehicle battery technology. It also highlights that the trend for patents in this area has been growing steadily in the past five years.

7. Visualization Layer: (Citation Network Graphs, Technology Maps, Patent Trends Graphs, Interactive Dashboards)

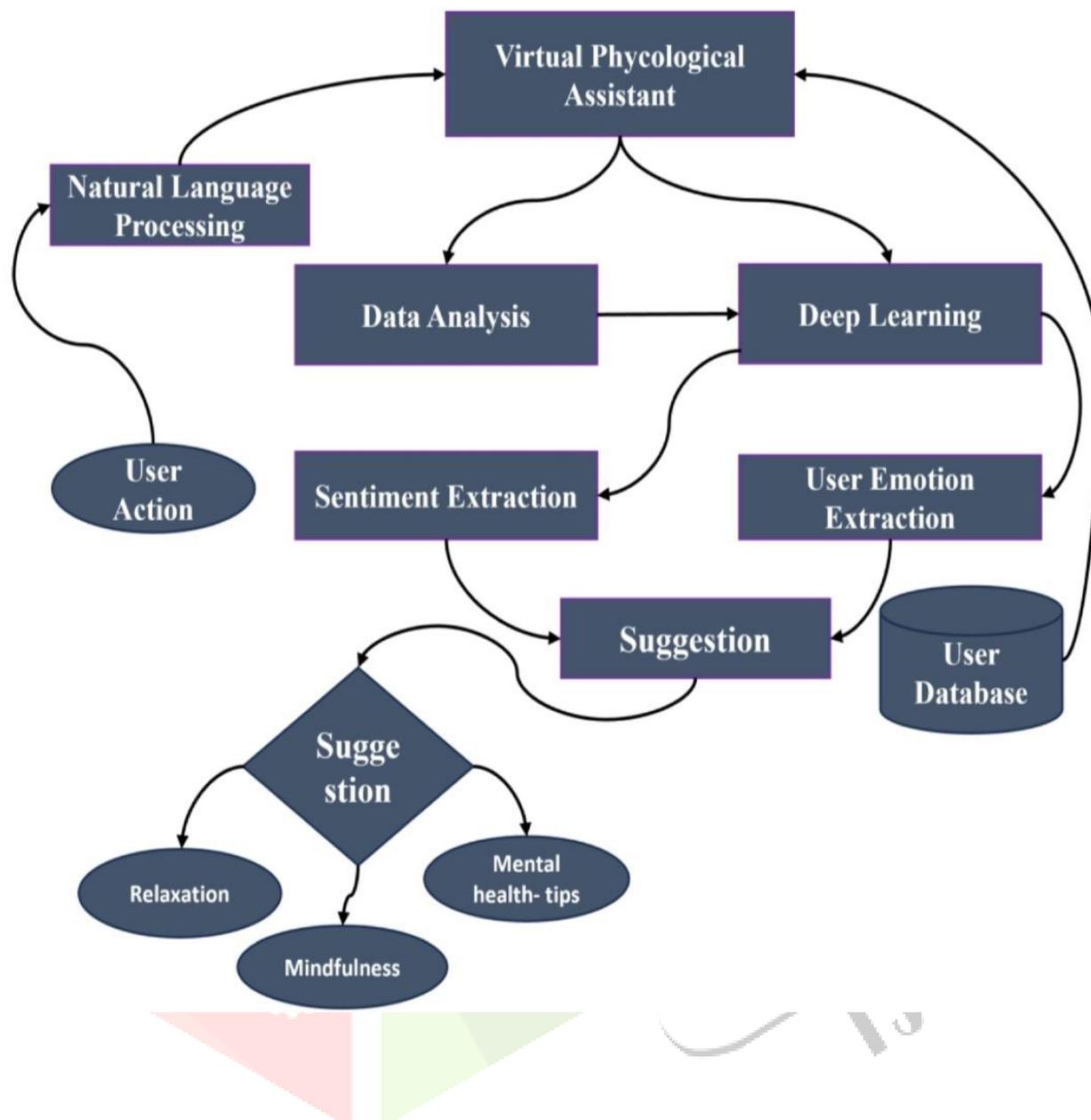
This is where the system visually represents the data, making it easy to understand through graphs and interactive dashboards. Citation networks, technology maps, and patent trend graphs are displayed for deeper insights.

Example: Jane can view an interactive citation network graph that shows how a specific patent on energy storage is connected to other patents. She also sees a technology map highlighting various subfields in renewable energy storage systems, and a trend graph showing the increasing number of patents filed in this area over the years.

8. Post-Processing & Output: (Result Refinement, Export/Reporting, Reports with Visuals)

Once the data has been processed and visualized, the user can refine the results by applying filters such as filing dates, geographic locations, or specific industries. The system allows the user to export the data and generate detailed reports, including visuals, in formats like PDF or CSV.

Example: Jane refines the results to focus on patents filed in the last two years and in the European region. She exports a report summarizing the top patents, citation networks, and technology trends. The report includes visual graphs and can be shared with her research team.



**Fig: Implementation of Advanced Natural Language Processing in Semantic Search Engine. ( Courtesy : Google)**

**Implementation of Data visualization tools:** Incorporate visualization techniques to support data understanding by offering graphical depictions of technology landscapes, citation networks, and patent trends.

**1. Query Input :(Text/Voice Interface)**

Description: This is the initial point where users can enter their queries either through text or voice commands.

Example: A user might say, “Find patents related to renewable energy technologies.” The system processes this input to understand the user’s needs

**2. Preprocessing :**

Text Normalization: Convert all text to a consistent format (e.g., lowercase, removing punctuation).

Stopword Removal: Eliminate common words that add little meaning (e.g., “and,” “the”).

Lemmatization/Stemming: Reduce words to their base form (e.g., “running” to “run”).

Example: The input query “Find the latest patents about solar energy technologies” would be normalized to “find latest patent solar energy technology,” removing stopwords and reducing words.

### 3. Query Understanding:

Named Entity Recognition (NER): Identify specific entities such as inventors, companies, or technologies.

Syntactic Parsing: Analyze the grammatical structure of the query.

Intent Classification: Determine the primary intent (e.g., search for patents, analyze trends).

Semantic Analysis: Understand the meaning behind the words and the context.

Example: For the query “Show me patents by Tesla on battery technology,” NER identifies “Tesla” as an entity, while intent classification recognizes the user wants to find patents.

### 4. Patent Document Retrieval :

Search Algorithms: Use algorithms (e.g., BM25, TF-IDF) to retrieve relevant patents.

Indexing & Ranking: Index patents to make retrieval efficient and rank them based on relevance.

Example: The system might retrieve several patents related to Tesla’s battery technologies, ranking them by relevance based on keywords found in the documents.

### 5. Data Extraction from Patent Documents:

Document Parsing: Break down the patent documents into readable formats.

NER & Info Extraction: Extract relevant information such as invention summaries, dates, and claims.

Summarization: Provide concise summaries of the patents.

Example: From a retrieved patent document, the system extracts key data: “Patent Title: Advanced Battery System,” Summary: “This invention relates to...”, and the filing date.

### 6. Patent Data Analytics :

Citation Network: Analyze how patents reference one another.

Technology Landscape: Visualize and categorize technologies based on patents.

Patent Trends: Identify trends over time, such as increasing filings in a specific area.

Classification Analysis: Classify patents into categories for better organization.

Example: An analysis might show that patents related to “solid-state batteries” have doubled in the past five years, indicating growing interest in that technology.

### 7. Visualization Layer

Citation Network Graphs: Display relationships between patents visually.

Technology Maps: Create maps that show clusters of related technologies.

Patent Trends Graphs: Visualize trends over time.

Interactive Dashboards: Allow users to explore data dynamically.

Example: An interactive dashboard may allow users to filter results by date, technology, or inventor, showing a graph of patent filings over time alongside a network of related patents.

### 8. Post-Processing & Output

Result Refinement: Fine-tune the retrieved data based on user feedback or additional parameters.

Export/Reporting: Generate reports that include detailed findings and visuals.

Reports with Visuals: Create comprehensive reports integrating text and graphical data for clarity.

Example: A user receives a report summarizing “Recent Innovations in Battery Technology,” including a citation network graph and key statistics about filings, which they can export as a PDF.

**Implementation of Semantic search engines:** Develop semantic search capabilities to understand and process user queries in a more context-aware manner, improving the relevancy of search results.

### 1. Query Input (Text/Voice Interface)

Description: This is where users interact with the system to submit their queries using text or voice.

Example: A user types or speaks, "Find recent patents on electric vehicle technology." The system captures this input for further processing.

Certainly! Let's explore each component of your patent data processing framework in detail, along with examples for better understanding.

### 1. Query Input (Text/Voice Interface):

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Example: A user types or speaks, "Find recent patents on electric vehicle technology." The system captures this input for further processing.

### 2. Preprocessing:

**Text Cleaning:** Remove noise such as special characters, unnecessary whitespace, and formatting issues.

**Language Detection:** Identify the language of the input query to ensure correct processing.

**Lemmatization/Stemming:** Reduce words to their base or root forms for consistent analysis.

Example: For the query "Finding new electric vehicles," text cleaning may result in "Finding new electric vehicles," language detection identifies it as English, and lemmatization changes it to "Find new electric vehicle."

### 3. Query Understanding:

**Named Entity Recognition (NER):** Identify key entities in the query, such as technologies, companies, or inventors.

**Part-of-Speech Tagging:** Analyze the grammatical roles of words to understand sentence structure.

**Intent Classification:** Determine the purpose of the query (e.g., search for patents, explore technologies).

**Semantic Parsing:** Understand the underlying meaning and relationships in the query.

**Context Understanding:** Consider the context from previous interactions or user profiles to enhance accuracy.

Example: For the query "Show me Tesla patents for self-driving cars," NER recognizes "Tesla" as an entity, while intent classification indicates the user is looking for patents. Context understanding may indicate the user has previously searched for self-driving technology.

### 4. Embedding Representation:

**Word Embeddings:** Represent words as vectors in a multi-dimensional space, capturing semantic relationships (e.g., Word2Vec, GloVe).

**Contextual Embeddings:** Use models like BERT or GPT to capture the context of words based on surrounding text.

**Query Expansion:** Enhance the original query with related terms to improve retrieval results.

**Example:** The term “self-driving cars” might be expanded to include “autonomous vehicles,” broadening the search scope and capturing more relevant documents.

## 5. Document Retrieval:

**Document Indexing:** Organize patent documents for efficient retrieval, often using inverted indices.

**Semantic Matching:** Use semantic analysis to match the query with relevant documents, going beyond simple keyword matching.

**Example:** The system searches the indexed database for patents that relate semantically to “self-driving cars,” retrieving patents that may use different terminology but are relevant.

## 6. Ranking & Relevance:

**Relevance Ranking:** Score and rank retrieved documents based on their relevance to the query.

**Contextual Re-ranking:** Adjust rankings based on user context or additional information (e.g., past searches).

**Feedback Loops:** Incorporate user feedback to continually refine the ranking algorithms, improving results over time.

**Example:** After retrieving documents, the system ranks them based on factors like keyword frequency, recency, and user context, ensuring the most relevant patents appear at the top.

## 7. Post-Processing & Output

**Result Refinement:** Fine-tune the results based on specific criteria (e.g., date filters, relevance thresholds).

**Search Results Display:** Present results in a user-friendly format, with summaries and key details.

**Interactive Suggestions:** Provide users with suggestions based on their query, such as related searches or alternative queries.

**Example:** After displaying a list of relevant patents on self-driving cars, the interface might show options to filter results by year or suggest related searches like “Tesla battery technology,” enhancing user experience.

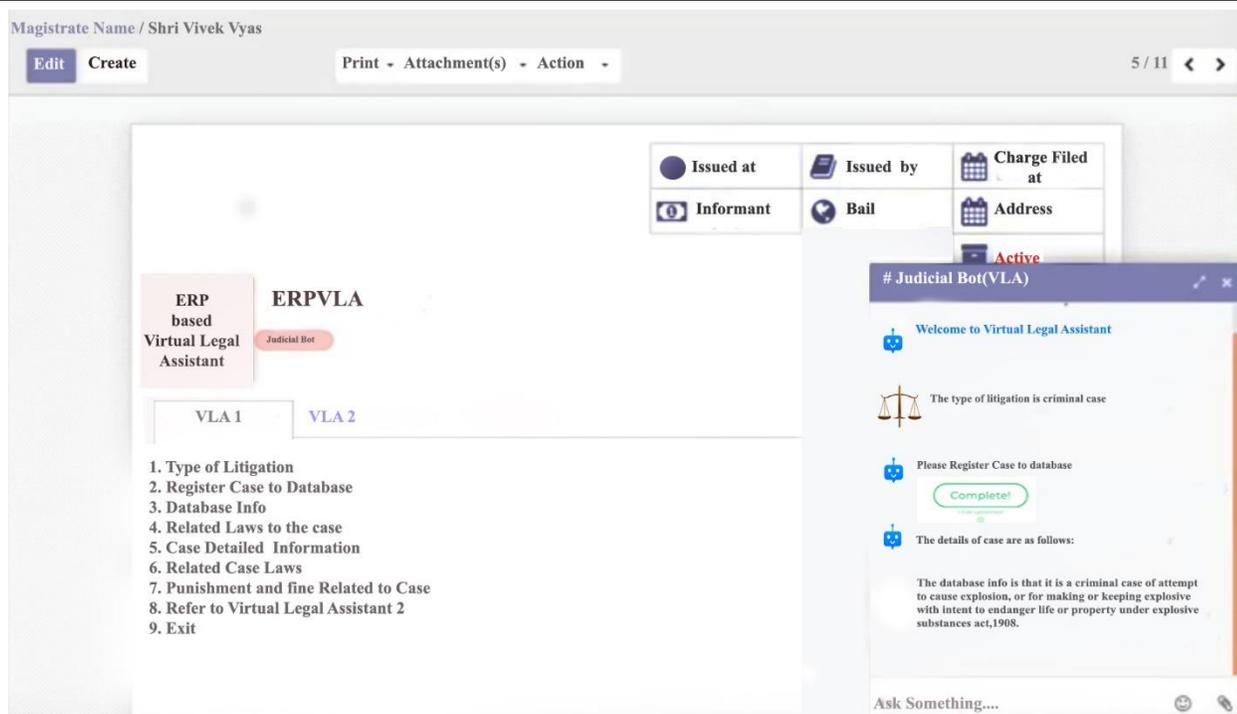


Fig: Application of Semantic search Engine with advanced deep learning

**Integration APIs:** Create APIs for seamless integration with other patent databases, legal research tools, and institutional repositories to provide a unified research experience.

### 1. User Interface (Web/App Interface)

**Description:** The frontend interface where users interact with the system, allowing them to enter queries, view results, and access various features.

**Example:** A user opens a web app and types in “recent patents on AI in healthcare.” The interface is designed to be user-friendly, providing search bars, filters, and results displayed in an organized manner.

### 2. API Gateway Layer

**Description:** This layer handles incoming requests from the user interface and routes them to appropriate backend services and APIs.

**Example:** When the user submits a query, the API Gateway receives the request and forwards it to the Query Processing & Orchestration Layer. It also manages traffic, ensuring efficient handling of multiple requests simultaneously.

### 3. Patent Databases & Legal Research Tools

**Description:** A collection of various databases and legal research tools (e.g., USPTO, EPO, Westlaw, Lexis) that provide patent information and legal documents.

**Data Normalization/Transformation Layer:** This layer ensures that data from different sources is transformed into a consistent format, making it easier to process and analyze.

**Example:** Patent data from the USPTO might be in XML format, while data from EPO is in JSON. The normalization layer converts both into a unified format, allowing for seamless integration and analysis.

#### 4. Query Processing & Orchestration Layer

**Description:** This layer is responsible for distributing the user's query across integrated systems and routing it to the appropriate services for processing.

**Example:** If a query involves searching both patent databases and legal research tools, the Query Processing Layer splits the request and sends it to both systems simultaneously, ensuring a comprehensive search.

#### 5. Search & Retrieval Engine

**Description:** This engine performs the actual search queries across the connected databases and tools, retrieving relevant results based on the user's query.

**Example:** The Search & Retrieval Engine executes a query for “AI in healthcare patents” and retrieves patents that match the criteria from multiple databases, leveraging both keyword and semantic search techniques.

#### 6. Result Aggregation and Ranking Layer

**Description:** After retrieving results from different sources, this layer merges the results and ranks them based on relevance to the user's query.

**Example:** Suppose the Search & Retrieval Engine retrieves 100 patents related to AI in healthcare. The Result Aggregation Layer consolidates these results, removing duplicates, and ranks them based on factors like recency, relevance, and citation count, presenting the top 10 most relevant patents to the user.

#### 7. Authentication and Security Layer

**Description:** This layer manages API key management, authentication, and authorization to ensure that only authorized users can access specific data and services.

**Example:** When a user logs into the system, the Authentication Layer verifies their credentials and issues an API key. This key is used in subsequent requests to ensure that only authenticated users can access sensitive patent data.

**Advanced Filtering Options:** Introduce additional filters for searching by legal status, patent families, jurisdictions, and detailed patent metrics.

#### 1. User Interface (Web/App Interface)

Description: The frontend interface where users interact with the system, allowing them to enter queries, view results, and access various features.

Example: A user opens a web app and types in “recent patents on AI in healthcare.” The interface is designed to be user-friendly, providing search bars, filters, and results displayed in an organized manner.

## 2. API Gateway Layer

Description: This layer handles incoming requests from the user interface and routes them to appropriate backend services and APIs.

Example: When the user submits a query, the API Gateway receives the request and forwards it to the Query Processing & Orchestration Layer. It also manages traffic, ensuring efficient handling of multiple requests simultaneously.

## 3. Patent Databases & Legal Research Tools

Description: A collection of various databases and legal research tools (e.g., USPTO, EPO, Westlaw, Lexis) that provide patent information and legal documents.

Data Normalization/Transformation Layer: This layer ensures that data from different sources is transformed into a consistent format, making it easier to process and analyze.

Example: Patent data from the USPTO might be in XML format, while data from EPO is in JSON. The normalization layer converts both into a unified format, allowing for seamless integration and analysis.

## 4. Query Processing & Orchestration Layer

Description: This layer is responsible for distributing the user's query across integrated systems and routing it to the appropriate services for processing.

Example: If a query involves searching both patent databases and legal research tools, the Query Processing Layer splits the request and sends it to both systems simultaneously, ensuring a comprehensive search.

## 5. Search & Retrieval Engine

Description: This engine performs the actual search queries across the connected databases and tools, retrieving relevant results based on the user's query.

Example: The Search & Retrieval Engine executes a query for “AI in healthcare patents” and retrieves patents that match the criteria from multiple databases, leveraging both keyword and semantic search techniques.

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**Citation Analysis Tools:** Develop tools for analyzing citation patterns and tracking the influence of patents over time, aiding in understanding their impact and relevance.

### 1. User Interface

Description: The frontend where users interact with the system to input citation queries and view analysis results. The interface should be intuitive and user-friendly.

Example: A user accesses a web application and sees a search bar labeled “Enter Patent ID or Search Criteria.” They can also access options for visualizing data and generating reports.

### 2. Citation Query Input

Description: Users input a specific patent ID or search criteria to initiate a citation analysis.

Example: A user inputs the patent ID “US12345678B2” to analyze its citations. The system recognizes the input and prepares to retrieve relevant data.

### 3. Patent Data Sources

Description: This component retrieves raw patent and citation data from various databases, including USPTO, EPO, and WIPO.

Example: Once the user submits the patent ID, the system queries the USPTO database to fetch data about the specified patent, including its citations, filing details, and legal status.

### 4. Citation Data Extraction Layer

Description: This layer extracts forward and backward citations related to the target patent.

- Forward Citations: Patents that cite the target patent.
- Backward Citations: Patents that the target patent cites.

Example: For patent US12345678B2, the system retrieves all patents that cite it (forward citations) and all patents that it cites (backward citations), storing this data for analysis.

### 5. Citation Pattern Analysis Engine

Description: This engine analyzes citation patterns, identifying clusters, influential patents, and co-citation networks.

Example: The engine finds that several patents citing US12345678B2 form a cluster around a specific technology area. It also identifies key patents that serve as hubs in the citation network, which are frequently cited by others.

### 6. Influence Tracking & Impact Module

Description: This module tracks citation counts over time and measures the geographic spread and cross-industry relevance of citations.

Example: The system might show that the citation count for US12345678B2 has grown from 5 citations in 2018 to 20 in 2023. It also visualizes that these citations are coming from multiple jurisdictions, indicating its influence in both the US and Europe.

### 7. Visualization Engine

Description: This component visualizes citation networks, trends, and geographical influence.

Example:

- Citation Networks: The engine produces a graphical representation showing how patents are interconnected, with US12345678B2 at the center and links to its forward and backward citations.
- Trends Over Time: A line graph displays the growth of citations per year, indicating spikes during specific periods.

- Geographical Influence: A heat map shows the concentration of citations by jurisdiction, highlighting regions where the patent has had significant impact.

## 8. Reporting & Export Tools

Description: This layer generates comprehensive reports summarizing analysis results and allows exporting of data and visualizations in various formats.

Example:

- The user clicks a button to generate a report, which includes a summary of the citation analysis, visualizations, and key insights about US12345678B2's influence. The report can be exported as a PDF or CSV.
- Additionally, the user can export the citation network as a PNG image for use in presentations or further analysis.

**Automated Alerts and Notifications:** Provide features for setting up automated alerts for new patents, changes in legal status, or updates in specific technological areas of interest.

### 1. User Interface

Description: The interface allows users like John to set up alerts for specific patent categories and manage their preferences.

Example: John logs into the system, navigates to the settings page, and selects "Renewable Energy" as his area of interest. He configures his alert settings to receive notifications about new patents and legal status changes. He chooses to be notified daily via email. The user interface provides clear options, making the setup process straightforward.

### 2. Monitoring Engine

Description: This engine continuously scans patent databases for updates based on user-defined criteria.

Example: The monitoring engine is set up to check patent databases every hour for any new filings or status changes related to renewable energy patents. It operates in the background, ensuring John doesn't miss any important updates.

### 3. Detection of Updates

Description: The monitoring engine detects relevant updates based on John's alert settings.

Example: One day, the engine identifies a newly filed patent for solar panel technology and also finds that an existing wind turbine patent has received a granted status. Both findings are aligned with John's interests in renewable energy.

#### 4. Data Filtering & Processing

Description: The system filters updates to ensure that only relevant notifications are sent to John.

Example: The engine processes the detected updates and filters them to exclude any unrelated technology sectors. As a result, John only receives notifications for the new solar panel patent and the legal status change for the wind turbine patent, ignoring patents in sectors like automotive or pharmaceuticals.

#### 5. Processing and Categorization

Description: The system categorizes relevant information based on the nature of the updates.

Example: The solar panel patent is categorized as a “new patent,” while the wind turbine patent’s status change is classified as a “legal status change.” This categorization helps in organizing the notifications that John will receive.

#### 6. Notification System

Description: The system sends alerts to John according to his preferred notification settings.

Example: Since John opted for a daily summary, he receives an email that summarizes both the newly filed solar panel patent and the legal status change of the wind turbine patent. The email provides details such as patent titles, filing dates, and a brief description, ensuring John stays informed about developments in his area of interest.

#### 7. Data Collection from Patent Data Sources

Description: The system collects data from various patent databases to capture updates.

Example: The monitoring engine is configured to gather information from major patent offices, including the USPTO and EPO. This comprehensive data collection ensures that John receives a complete picture of new patents and legal changes in renewable energy technologies.

#### 8. Integration with External Databases

Description: The system pulls updates from global patent offices to ensure accurate and timely information.

Example: The system retrieves updates from the USPTO about new solar patents and from the EPO regarding wind energy patents. This dual-source approach ensures that John is well-informed about all relevant developments, regardless of the jurisdiction in which the patents are filed.

## **Suggestions for enhancing the role of AI in fostering innovative inventions:**

Innovation can happen more faster because of artificial intelligence (AI). AI has the potential to spur innovation across a wide range of industries, including technology and medical, by utilizing its capacity to evaluate large datasets, forecast results, and optimize designs. Patent law must acknowledge AI as an inventor in order to fully realize its promise. Section 6 of the Indian Patent Act, 1970 currently limits the recognition of inventors to "persons," hence excluding non-human entities such as artificial intelligence. On the other hand, AI might be enabled to directly contribute to technical developments, quickening the rate of innovation and discovery, by changing this part and other pertinent sections.

Acknowledging AI as an inventor would promote additional AI-driven inventions in addition to honoring its accomplishments. Patent Act Section 2(1)(j) describes an innovation; inventions produced autonomously by AI may fall under this description as well. This amendments should be done in section 2(1)(j) and Section 6 of Indian patent act, 1970 i.e., persons entitled to apply for patents.

In addition, Section 10, which controls the submission of patent applications, might be modified to accept applications when AI is named as the inventor. Giving AI the ability to own or co-own patents will encourage the creation of new AI systems aimed at original problem-solving, enhancing AI's usefulness as an instrument for both innovators and inventors. Innovation in an AI-driven world will not be possible without such legal legitimacy. Furthermore, when AI systems independently produce new innovations, they should be given credit as inventors under Section 28 of Indian Patent Act, 1970, which addresses the inventor's mention in the patent. By making this alteration, the legal framework of patent law would explicitly recognize the contributions of AI systems and promote the creation of AI-driven breakthroughs.

There would be the issue of safeguarding Against Compulsory Licensing for AI-Generated Inventions. Clean energy technologies, such as solar panels and electric vehicles, are often protected by patents under the Patent Act of 1970 (India). While these patents incentivize companies to innovate, they can also limit the dissemination of these technologies, especially in developing countries. For instance, Section 48 of the Patent Act grants the patentee exclusive rights to prevent others from making, using, or selling the patented technology without authorization. This exclusivity can restrict access to critical clean technologies in regions that could benefit the most. However, Section 84 of the Act provides for compulsory licensing, which allows third parties to use the patented technology without the patentee's consent, particularly if the patented product is not available to the public at a reasonable price. This can be a mechanism to make green technologies more accessible. Additionally, research could explore how patent pools (collaborative agreements where multiple patent holders share their rights) or open-source licensing models could be used to encourage broader access to clean energy technologies while complying with the law. By fostering such models, it may be possible to balance the public interest with the incentives for innovation without undermining the fundamental rights of patentees as outlined in the Patent Act.

AI needs data to train the models. Companies that use big data to train machine learning models or offer personalized services often rely on proprietary algorithms and datasets, which are treated as trade secrets. However, this approach may conflict with data protection laws like the General Data Protection Regulation (GDPR) in Europe, which grants individuals significant control over their personal data. In India, under the Patent Act of 1970, specifically Section 3(k), algorithms and computer programs are excluded from patentability unless they demonstrate a technical application. Therefore, companies face the challenge of balancing intellectual property (IP) protection under the Patent Act with compliance to privacy regulations. Research could focus on how to navigate the tension between these IP protections under the Patent Act of 1970 and privacy rights under laws like the GDPR. Specifically, ensuring that companies can innovate and protect their proprietary technologies while adhering to individual rights, particularly the right to consent and the right to be

forgotten, in line with data protection principles. This involves balancing the trade secret aspects of their innovations with provisions under the Indian Patent Act's Sections 3 and 10, which govern patent disclosures, while simultaneously respecting individual freedoms under data privacy laws.

## Conclusion:

For scholars, lawyers, and inventors alike, Google Patent Search is a vital resource due of its extensive coverage and easy-to-use interface. Its easy connection with Google's search technology makes it possible to quickly and effectively access a large database of patents, which makes it easier to conduct prior art searches and analyze technological trends. Its user-friendly search features, like inventor name and keyword searches, improve its usability and make it easier for users to traverse the challenging world of patent research. Google Patent Search is not as comprehensive as specialized patent databases like Espacenet and the USPTO's PatFT, despite these benefits. While Espacenet offers more advanced search choices and a stronger focus on European patents, Google Patent Search may not have all the features and detailed search capabilities that Espacenet offers. In a similar vein, PatFT is quite comprehensive for US patents but may not be as user-friendly. Google Patent Search could become even more feature-rich as the field of patent research develops, including more sophisticated search options and providing more extensive data to help legal research and innovation [21].

Google has come under fire for its hypocrisy and lack of patent openness. According to accusations, the corporation advocates for patent reform while simultaneously leveraging its portfolio of patents to restrict competition and discourage innovation. In conclusion, even though Google Patent Search is a useful tool for researching patents, it's important to be aware of any possible legal ramifications before using it [22].

In order to incorporate AI ideas into the patent system while maintaining a balance between innovation, social justice, and ethics, changes to patent law are necessary. It also emphasizes the need for new frameworks to accommodate AI's expanding role in innovation and advocates for a better distribution of ownership and recognition.

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