



Intergenerational Psychological Vulnerability In Adolescents Exposed To Parental Mental Illness: An Integrative Review Of Cognitive, Anxiety, And Personality Outcomes

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ABSTRACT

Background. Parental mental illness constitutes an escalating public health crisis in low- and middle-income countries (LMICs), where treatment gaps of 70–92% (India), structural stigma, and socioeconomic adversity amplify intergenerational developmental risk through interacting genetic, neurobiological, cognitive, emotional, and family-contextual pathways.

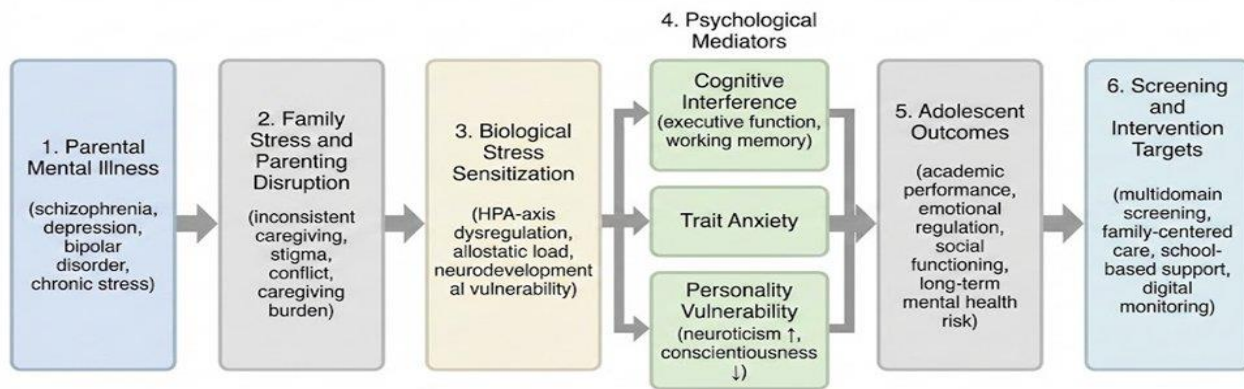
Objective. This integrative review synthesizes global and Indian epidemiological, mechanistic, and clinical evidence to delineate pathways of intergenerational psychological vulnerability, characterize domain-specific adolescent outcomes, and identify scalable multidomain strategies for early detection and prevention.

Results. High-risk adolescents exhibit elevated trait anxiety ($d \approx 0.40$ – 0.70), selective executive-function deficits ($d \approx 0.30$ – 0.50), and personality profiles characterized by heightened neuroticism and diminished conscientiousness ($d \approx 0.30$ – 0.60), often subdiagnostically. India's disproportionate burden — caregiver load pooled at 31.7%, treatment gap of 70–92%, and pronounced rural–urban disparity — amplifies these trajectories. Multidomain screening integrating cognitive, anxiety, personality, and family-burden assessment, combined with family-centered, school-based, and digitally scalable interventions, demonstrates consistent efficacy for resilience building and trajectory interruption.

Conclusion. Embedding validated multidomain screening within family-centered, school-linked, and digitally enabled systems provides a pragmatic, scalable pathway for early identification, targeted prevention, and population-level impact across India and comparable LMIC settings.

Keywords: Parental mental illness; Adolescent psychopathology; Intergenerational transmission; Executive function; Trait anxiety; Neuroticism; HPA-axis dysregulation; Multidomain screening; Preventive psychiatry; India.

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1. INTRODUCTION

Mental disorders collectively afflict approximately 970 million individuals globally, contributing 14.3% of disability-adjusted life years (DALYs) and approximately 15% of the adolescent disease burden [1]. In India, an estimated 197.3 million individuals meet diagnostic criteria for mental disorders — representing a point prevalence of 10.6% and a lifetime prevalence of 13.7% — yet 70–92% remain untreated owing to workforce deficits, structural stigma, and rural access barriers [4,5]. This sustained treatment gap is not merely a service-delivery failure; it ensures that millions of children grow up in households shaped by unmanaged parental psychopathology.

Parents with mental illness simultaneously transmit genetic liability, disrupt secure attachment, model maladaptive coping, and generate chronic HPA-axis sensitization in offspring [6,10]. Critically, approximately 50% of lifetime psychiatric disorders emerge by mid-adolescence and 75% by the mid-twenties [2,3], positioning this neurodevelopmental window as the interval of both maximum risk accumulation and maximum preventive leverage. Despite extensive cross-national literature, evidence across cognitive, affective, and personality domains remains fragmented; unified frameworks integrating multidomain screening with scalable prevention remain underdeveloped for low-resource settings. This review synthesizes mechanistic, epidemiological, and clinical evidence to advance early detection and preventive practice, with specific attention to India's epidemiological and sociocultural context. The graphical abstract depicts the six-stage mechanistic framework linking parental mental illness through biological stress sensitization and psychological mediation to adolescent outcomes and evidence-based intervention targets.

2. INTEGRATED MECHANISMS AND DEVELOPMENTAL VULNERABILITY

Intergenerational risk transmission is probabilistic rather than deterministic, arising from the dynamic interplay of polygenic susceptibility (heritability estimates of 30–80% across mood, anxiety, and psychotic disorders [6]), biological stress dysregulation, cognitive-processing inefficiency, and adverse social-relational environments. Critically, phenotypic expression is modulated by developmental timing, environmental exposure, and epigenetic modification: chronic adversity induces methylation changes at the glucocorticoid receptor gene (NR3C1) and BDNF promoter sites, conferring persistent emotional reactivity that can extend across developmental stages [12].

India's epidemiological profile amplifies these pathways significantly. An estimated 34.6% of psychiatric inpatients are parents; pooled caregiver burden reaches 31.7% (95% CI 26.2–37.1); perinatal depression affects 15–30% of Indian mothers [8,9]; and carer anxiety and depressive disorder prevalence approximates 33–35% — conditions that generate sustained household dysfunction and substantially weaken the protective developmental scaffolding available to offspring. HPA-axis sensitization driven by chronic household adversity elevates allostatic load and produces dendritic retraction in the

dorsolateral prefrontal cortex (dlPFC), impairing prefrontal–limbic connectivity and generating heightened emotional reactivity with selective executive inefficiency, particularly under prolonged threat exposure [10,11].

Simultaneously, inconsistent caregiver responsiveness and parental emotional unavailability consolidate insecure attachment patterns, reinforcing internalizing vulnerability through anxiety sensitivity, hypervigilance, and maladaptive emotion regulation [13]. Observational learning circuits transmit parental threat appraisals and coping deficits across generations [15], while high expressed emotion — characterized by criticism, hostility, and emotional overinvolvement — elevates both parental relapse risk and offspring emotional distress [14]. The resultant neurodevelopmental impairments are domain-selective: prefrontal networks supporting working memory, attentional flexibility, and inhibitory control are preferentially vulnerable to chronic stress [16]. Indian urban school-based samples document psychiatric morbidity in up to 23.3% of adolescents — nearly double rural estimates — with subthreshold symptoms reliably predicting diagnostic transition and functional impairment [5]. Table 1 synthesizes these seven core transmission mechanisms with their neurobiological bases, psychological manifestations, and functional impacts.

Table 1. Mechanisms of Intergenerational Psychological Vulnerability

Mechanism	Neurobiological Basis	Psychological Manifestation	Adolescent Functional Impact	Key Evidence (DOI)
HPA-axis sensitization & allostatic load	Cortisol elevation → dlPFC dendritic retraction; hippocampal volume reduction	Hypervigilance, somatic arousal, emotional dysregulation	Academic disengagement, emotional outbursts, somatic complaints	Lupien SJ et al. 2009
Polygenic liability co-transmission	Shared polygenic architecture; heritability 30–80% by disorder; pleiotropy across mood, anxiety, psychosis	Baseline emotional sensitivity; reduced stress threshold	Earlier subclinical symptom onset; attenuated recovery capacity	Rasic D et al. 2014
Attachment disruption	Altered oxytocin/vasopressin signaling; impaired prefrontal–limbic threat regulation	Insecure attachment; threat-system hyperactivation	Peer relationship deficits, separation anxiety, school refusal	Fearon RP et al. 2010
Social learning & emotional modeling	Vicarious threat conditioning via observational circuits	Internalized parental threat appraisals; maladaptive coping mimicry	Avoidance behaviors, generalized worry, reduced help-seeking	Murray L et al. 2012
Expressed emotion &	Sustained autonomic arousal; progressive	Anxiety sensitivity	Accelerates internalizing	Butzlaff RL 1998

Mechanism	Neurobiological Basis	Psychological Manifestation	Adolescent Functional Impact	Key Evidence (DOI)
household conflict	allostatic load accumulation	amplification; reduced self-efficacy	symptom consolidation; parent relapse risk ↑	
Epigenetic modulation	NR3C1 & BDNF promoter methylation under chronic stress; transgenerational histone modification	Persistent emotional reactivity independent of acute exposure	Vulnerability phenotype persists into adult offspring	Meaney MJ. 2010
Parentification / role reversal	Chronic role strain → sustained HPA activation; loss of developmental scaffolding time	Precocious anxiety; suppressed developmental exploration; identity diffusion	Academic burden, social withdrawal, caregiver fatigue	Hooper LM. Fam J. 2007

3. COGNITIVE, ANXIETY, AND PERSONALITY OUTCOMES

3.1 Executive Function and Cognitive Vulnerability

High-risk adolescents demonstrate selective neurocognitive vulnerability rather than global intellectual impairment. International longitudinal cohort studies consistently document small-to-moderate executive and processing-speed deficits among offspring of parents with schizophrenia and bipolar disorder ($d \approx 0.30-0.50$), even in the absence of clinical diagnosis [16]. Working memory, attentional control, cognitive flexibility, and inhibitory efficiency are most sensitive to HPA-axis dysregulation and chronic familial stress — consistent with the disproportionate impact of sustained glucocorticoid activation on prefrontal networks [11,16]. Indian evidence converges on this pattern: adolescents exposed to paternal alcohol dependence demonstrate poorer working memory and behavioral regulation independent of socioeconomic background, while positive parent-child engagement predicts stronger cognitive and emotional functioning. Importantly, reliance on global IQ measures obscures these selective deficits; domain-specific executive-function tools are therefore essential components of any multidomain screening battery in high-risk populations.

3.2 Anxiety and Internalizing Symptoms

Anxiety and internalizing symptoms represent the most consistently documented outcomes among offspring of parents with mental illness. High-risk cohort studies report elevated internalizing scores with small-to-moderate effect sizes ($d \approx 0.30-0.70$), with larger effects observed under chronic, comorbid, and high-expressed-emotion conditions [14,15]. Trait anxiety — reflecting stable hypervigilance, somatic arousal, and anticipatory worry — functions as a latent vulnerability marker rather than a transient state, interfering with attentional control, emotion regulation, and executive functioning across developmental stages [17]. Anxiety disorders carry the earliest median onset among psychiatric conditions, and subthreshold anxiety reliably predicts later morbidity and functional impairment [2].

Indian epidemiology contextualizes this locally: anxiety disorders rank among the most prevalent adolescent diagnoses, with national prevalence estimated at 7–9%; school-based urban surveys report anxiety symptoms in 10–20% or more of students; and adolescents exposed to familial adversity consistently score higher on internalizing measures even without a formal parental diagnosis [5]. Gender moderates symptom expression — females report higher trait anxiety, while males may underreport internalizing distress and present with externalizing behaviors, systematically delaying detection and intervention.

3.3 Personality Vulnerability Profiles

Personality traits simultaneously function as vulnerability markers and moderators of intergenerational risk. Evidence converges on two core signatures: elevated neuroticism — reflecting emotional instability, threat sensitivity, and rumination proneness — and reduced conscientiousness — reflecting impaired behavioral regulation, persistence, and goal-directed effort — with small-to-moderate differences relative to low-risk controls ($d \approx 0.30-0.60$) [17,18]. Mechanistically, elevated neuroticism amplifies rumination and anxiety–cognition interference, accelerating progression toward internalizing disorders, while reduced conscientiousness compromises academic persistence and health-protective behaviors.

Adolescence constitutes a sensitive period for trait consolidation: chronic parental emotional instability raises the probability that transient anxiety solidifies into enduring neuroticism, making personality a developmental bridge between early emotional sensitivity and later functional impairment [17,18]. Indian Big Five–derived studies document neuroticism elevations of approximately 0.3–0.5 SD in high-stress adolescent groups relative to normative samples. City-level evidence strengthens ecological validity — studies from Delhi report emotional insecurity, premature caregiving roles, and academic instability mediated by stigma in offspring of parents with schizophrenia and bipolar disorder; Chennai school surveys link elevated anxiety and reduced self-regulation to parental occupational stress and family conflict; and Mumbai clinical referral patterns consistently document anxiety, somatic complaints, and academic decline under prolonged household strain.

4. FAMILY, ENVIRONMENTAL, AND SOCIOCULTURAL MODULATORS

The family environment mediates how parental mental illness translates into adolescent developmental outcomes. Consistent caregiving, emotional attunement, and predictable routines foster secure attachment and adaptive stress regulation; parental psychopathology disrupts these processes through inconsistent responsiveness, emotional withdrawal, irritability, and intrusive caregiving, elevating insecure attachment prevalence and internalizing symptom risk [13]. Cumulative adverse childhood experiences (ACEs) demonstrate dose–response associations with internalizing disorders and cognitive inefficiency across the lifespan; adolescents in high-adversity households frequently assume premature caregiving roles — parentification — compounding role strain and academic disengagement [19].

Sociocultural factors uniquely amplify risk in the Indian context. Structural stigma discourages disclosure and reinforces concealment, delaying treatment and prolonging household exposure to untreated parental symptoms. India's national treatment gap of 70–92% and the disproportionate caregiving load borne by family members sustain secondary distress and household dysfunction [4,5]. Schools serve as critical early-detection contexts — teachers frequently identify attentional instability, emotional withdrawal, and academic decline — though limited mental-health literacy and referral pathways constrain timely action. Protective factors including stable support from unaffected caregivers, extended family involvement, and parental mental-health literacy are modifiable targets for psychoeducation and family-centered therapy [21,22].

5. SCREENING, INTERVENTION, AND PREVENTION

5.1 Multidomain Screening Framework

Effective prevention requires identification of latent risk before functional impairment and diagnostic consolidation. Symptom-based detection identifies adolescents only after behavioral or academic decline, substantially limiting preventive leverage. Integrated multidomain screening batteries — combining the

GHQ-12 for household psychological burden, the Screen for Cognitive Impairment in Psychiatry (SCIP) for executive efficiency and processing speed, Raven's Progressive Matrices for culturally neutral fluid reasoning, the Beck Anxiety Inventory (BAI) and State-Trait Anxiety Inventory (STAI) for anxiety severity and trait proneness, and the Big Five Inventory (BFI-44) or Junior Eysenck Personality Questionnaire (JEPQ) for personality vulnerability profiling — enable comprehensive tiered risk stratification into low-, moderate-, and high-risk categories. The STAI trait subscale is particularly valuable for identifying enduring vulnerability independent of transient state activation. Digital platforms support automated scoring, longitudinal monitoring, and population-level surveillance; ethical safeguards including informed consent, confidentiality, and clear referral pathways are essential when screening minors, and elevated scores must function as vulnerability indicators rather than diagnostic labels.

5.2 Evidence-Based Interventions

Staging models confirm that preventive intervention during early or subclinical phases yields the greatest long-term benefit by altering trajectories before chronic psychopathology consolidates. Family-focused therapy (FFT) and structured carer psychoeducation programs reduce expressed emotion, stabilize attachment security, and improve adolescent psychosocial functioning; randomized controlled trials demonstrate reductions in parental relapse rates and improvements in child internalizing scores [21,22]. NIMHANS-developed psychoeducation modules are already active across multiple Indian centers and are scalable via ASHA community health worker networks.

School-based social-emotional learning (SEL) programs yield $d \approx 0.20$ – 0.40 reductions in internalizing symptoms with improvements in academic engagement, and have been piloted in government schools in Chennai, Delhi, and Mumbai [20]. Individual cognitive-behavioral therapy (CBT) achieves remission in 50–70% of adolescent anxiety cases in randomized trials with durable effects at 12-month follow-up [23]; cognitive remediation therapy (CRT) produces moderate executive gains ($d \approx 0.30$ – 0.50) [24]; and mindfulness-based interventions reduce trait anxiety and cortisol reactivity in school-integrated formats [25]. Digital and mHealth platforms demonstrate non-inferior outcomes relative to face-to-face delivery for mild-to-moderate presentations and extend reach across India's geographic and stigma barriers [26]. Integration of community health workers via the ASHA framework — with over 900,000 trained workers aligned with District Mental Health Programme mandates — provides a scalable, culturally acceptable channel for early detection, psychoeducation, and warm referral to specialist services [27].

6. RESEARCH GAPS AND FUTURE DIRECTIONS

The evidence base carries several structural limitations that constrain generalizability and causal inference. Most Indian studies remain cross-sectional, precluding conclusions about temporal sequencing and mechanism directionality; prospective longitudinal cohorts integrating repeated psychological assessments, verified parental diagnostic data, environmental exposure indices, and stress biomarkers are necessary to clarify mediation and moderation pathways. Current datasets rarely classify adolescents by confirmed parental diagnosis, severity, duration, and treatment status, relying instead on proxies — family stress, substance use, socioeconomic adversity — that constrain intergenerational specificity. Regionally stratified cohorts spanning urban, semi-urban, and rural South Indian populations are required to enhance ecological validity and policy relevance.

Cultural validation of anxiety scales, personality inventories, and cognitive screening tools for Indian adolescent populations remains limited; measurement equivalence across linguistic, educational, and socioeconomic strata must be established, with culturally sensitive normative benchmarks developed. Evidence for intervention effectiveness is further constrained by insufficient randomized trials, inadequate long-term follow-up, and limited data on scalability, cost-effectiveness, and implementation fidelity. Priority directions include: (1) establishing longitudinal high-risk cohorts with verified parental diagnoses; (2) implementing and evaluating integrated multidomain screening models in Indian school and primary-care settings; (3) validating culturally adapted assessment instruments; and (4) developing ethical, privacy-preserving digital infrastructure for early detection and population monitoring.

7. CONCLUSION

Parental mental illness shapes adolescent psychological vulnerability through interacting genetic, neurobiological, executive, personality, and family-contextual pathways — a cumulative developmental process that begins with subclinical emotional and cognitive sensitivity and progressively consolidates into functional impairment and psychiatric risk. As depicted in the graphical abstract, six mechanistically integrated stages span from parental mental illness through family stress disruption, biological stress sensitization, and psychological mediation, to observable adolescent outcomes and evidence-based intervention targets. India's high disease burden, treatment gap of 70–92%, and minimal preventive infrastructure amplify this trajectory at population scale.

Six integrated findings summarize the evidence: (i) intergenerational risk operates through interacting genetic, neurobiological, executive, personality, and family pathways, not linear inheritance [6,15]; (ii) high-risk adolescents consistently exhibit elevated trait anxiety, selective executive deficits, and personality profiles marked by high neuroticism and reduced conscientiousness, even without formal diagnosis [16–18]; (iii) adolescent neurodevelopmental plasticity maximizes intervention leverage [2,3]; (iv) Indian epidemiological conditions prolong household exposure and intensify risk trajectories [4,5,8,9]; (v) multidomain screening outperforms single-domain symptom detection; and (vi) family psychoeducation, school-based SEL, individualized CBT, cognitive remediation, and digitally enabled delivery consistently reduce symptom progression and strengthen resilience [20–27]. Translating this evidence into coordinated policy — supported by ethical governance, mental-health workforce capacity building, and cross-sector collaboration across health, education, and social services — is essential for advancing equitable adolescent mental-health outcomes in India and comparable LMIC settings.

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